

July 2019

R01: *Financial services, regulation and ethics study text, 2019–20 edition*

This edition of the study text is based on the 2019–20 examination syllabus and forms the study material for exams to be sat from 1 September 2019 until 31 August 2020.

Updates and amendments have been made throughout the text; where relevant, web updates issued for the 2018–19 edition have been included.

A summary of where the principal changes have been made is given below:

Chapter 1: section A2; new section B created and subsequent sections renumbered; now found in sections B and C, with edits throughout; section D throughout; sections E1, E2B, E2C and E3.

Chapter 2: introduction, section A removed and subsequent sections reordered; sections A2, B3, B4, B5, C4B, C5, C6, E1, E3A, F1, F2, F3C, F3F, F3G, G1 and G2.

Chapter 3: sections A1, B1, B1A, E1, E4B, F2, F3, G1A, G2 and H2.

Chapter 4: introduction, sections A, B6, C, C2, C3, D3, E1 and E3B.

Chapter 5.1: sections A1D, B, C1A, D1, D2, D2A, D2D, E1 and E2.

Chapter 5.2: sections F1E, G1, H3D, H3E, H4B, I1, L1 and L2.

Chapter 6: introduction, sections A4, A5, B, B1, C2A and C2B; sections E and H renamed.

Chapter 7: section A updated throughout; sections B1, B3, B3D, B6 and C1.

Chapter 8: section A renumbered; sections B1A, B1B, B1C, C1 and D.

Chapter 9: sections C, C1 and C2.

Chapter 10: sections A1, A2 and B2A.

Questions/answers, websites, key terms/points, cases, legislation and index: amended where relevant.

We hope this information is helpful to you.

CII Learning Solutions